



Patent
Attorney's Docket No. 033053-040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE AUG 28 2003

In re Patent Application of)

Kenneth C. CUNDY et al.)

Application No.: 09/974,768)

Filed: October 9, 2001)

For: BILE-ACID CONJUGATES FOR)
PROVIDING SUSTAINED SYSTEMIC)
CONCENTRATIONS OF DRUGS)

Group Art Unit: 1614

Examiner: Badio, Barbara P.

Confirmation No.: 6895

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TC 1700
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SECOND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: August 26, 2003

By: _____

Teresa Stanek Rea
Registration No. 30,427

P.O. Box 1404
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**SECOND INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [x] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [] A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: August 26, 2003

By: _____

Teresa Stanek Rea
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Substitute for forms 1449A/PTO & 1449B/PTO

ATTORNEY'S DKT NO.
033053-040APPLICATION NO.
09/974,768SECOND INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Kenneth C. CUNDY et al.FILING DATE
October 9, 2001GROUP
1614

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U.S. PATENT DOCUMENTS

| Examiner Initials | Document Number | Kind Code (if known) | Name of Patentee or Applicant of Cited Document | Issue/Publication Date (MM-DD-YYYY) |
|-------------------|-----------------|----------------------|---|-------------------------------------|
| | 5,695,738 | | Anderson et al. | 12-09-1997 |
| | 5,725,840 | | Klaveness et al. | 03-10-1998 |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Document Number | Kind Code (if known) | Country | Date of Publication (MM-DD-YYYY) | Translation Yes | No |
|-------------------|-----------------|----------------------|---------|----------------------------------|-----------------|----|
| | JP 11-60954 | | Japan | 03-02-1999 | X | |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.